

3763



Docket No. CRD-957

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

#9  
ARNS  
9/11/02

Applicants : David Christian Lentz, et al.  
Serial No. : 09/966,447 Art Unit: 3763  
Filed : September 28, 2001 Examiner: Not yet assigned  
For : COATED MEDICAL DEVICES

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e) (attached);  
or

☐ Please charge Deposit Account No. 10-0750/ /  
the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

☐ Statement in Accordance with §1.97(e) (attached);  
or

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☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD957/CJE. This form is submitted in triplicate.

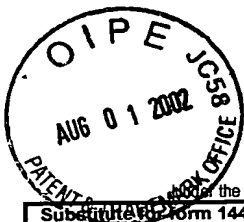
Respectfully submitted,



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DATED:



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 8

Application Number	09/966,447
Filing Date	September 28, 2001
First Named Inventor	Lentz, David Christian
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-957

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,739,762		Palmaz, J.C.	04/26/88	
		4,776,337		Palmaz, J.C.	10/11/88	
		5,041,100		Rowland, S.M. et al.	8/20/91	
		5,049,132		Shaffer, M.A. et al.	9/17/91	
		5,061,750		Feijen, J. et al.	10/29/91	
		5,134,192		Feijen, J. et al.	7/28/92	
		5,213,576		Abiuso, C.L. et al.	5/25/93	
		5,306,250		March, K.L. et al.	4/26/94	
		5,308,862		Ohlstein, E.H.	5/3/94	
		5,328,471		Slepian, M.J.	7/12/94	
		5,336,518		Pallassana, V.N. et al.	8/9/94	

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Sheet 2 of 8

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		Number	Kind Code <sup>2</sup> (if known)			
		5,342,621		Eury, R.P.	8/30/94	
		5,368,566		Crocker, M.	11/29/94	
		5,393,772		Yue, T.L. et al.	2/28/95	
		5,409,696		Narayanan, P.V. et al.	4/25/95	
		5,429,634		Narcisco, H.L.	7/4/95	
		5,439,446		Barry, J.	8/8/95	
		5,441,947		Dodge, J.A. et al.	8/15/95	
		5,443,458		Eury, R.P.	8/22/95	
		5,486,357		Narayanan, P.V.	1/23/96	
		5,523,092		Hanson, S.R. et al.	6/4/96	
		5,551,954		Buscemi, P.J. et al.	9/3/96	
		5,556,413		Lam, S.	9/17/96	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				

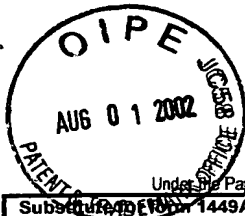
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 8

Application Number	09/966,447
Filing Date	September 28, 2001
First Named Inventor	Lentz, David Christian
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		Number	Kind Code <sup>2</sup> (if known)			
		5,569,197		Helmus, M.N. et al.	10/29/96	
		5,574,059		Regunathan, S. et al.	11/12/96	
		5,580,873		Bianco, J.A. et al.	12/3/96	
		5,580,874		Bianco, J.A. et al.	12/3/96	
		5,591,140		Narayanan, P.V. et al.	1/7/97	
		5,620,984		Bianco, J.A. et al.	4/15/97	
		5,621,102		Bianco, J.A. et al.	4/15/97	
		5,622,975		Singh, J.P. et al.	4/22/97	
		5,629,315		Bianco, J.A. et al.	5/13/97	
		5,643,939		Ohlstein, E.H.	7/1/97	
		5,648,357		Bianco, J.A. et al.	7/15/97	
		5,649,977		Campbell, P.K.	7/22/97	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				

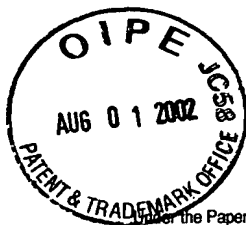
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 8

Application Number	09/966,447
Filing Date	September 28, 2001
First Named Inventor	Lentz, David Christian
Group Art Unit	3763
Examiner Name	Not yet assigned
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		Number	Kind Code <sup>2</sup> (if known)			
		5,651,174		Schwartz, R.S. et al.	7/29/97	
		5,652,243		Bianco, J.A. et al.	7/29/97	
		5,653,992		Bezwada, R.S. et al.	8/5/97	
		5,662,609		Slepian, M.J.	9/2/97	
		5,665,728		Morris, R.E. et al.	9/9/97	
		5,670,506		Leigh, A. et al.	9/23/97	
		5,709,874		Hanson, S.R. et al.	1/20/98	
		5,725,549		Lam, S.	3/10/98	
		5,731,326		Hart, C.E. et al.	3/24/98	
		5,733,920		Mansuri, M.M. et al.	3/31/98	
		5,733,925		Kunz, L.L. et al.	3/31/98	
		5,739,138		Bianco, J.A. et al.	4/14/98	

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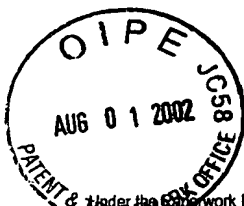
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 8

Application Number	09/966,447
Filing Date	September 28, 2001
First Named Inventor	Lentz, David Christian
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-957

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		Number	Kind Code <sup>2</sup> (if known)			
		5,769,883		Buscemi, P.J. et al.	6/23/98	
		5,776,184		Tuch, R.J.	7/7/98	
		5,780,476		Underiner, G.E. et al.	7/14/98	
		5,782,908		Cahalan, L.L. et al.	7/21/98	
		5,788,979		Alt, E.	8/4/98	
		5,792,772		Bianco, J.A. et al.	8/11/98	
		5,798,372		Davies, P.A. et al.	8/25/98	
		5,807,861		Klein, J.P. et al.	9/15/98	
		5,811,447		Kunz, L.L. et al.	9/22/98	
		5,858,990		Walsh, K.	1/12/99	
		5,873,904		Ragheb, A.O. et al.	2/23/99	
		5,876,433		Lunn, A.C.	3/2/99	

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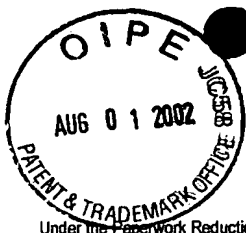
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	<b>Filing Date</b>	September 28, 2001
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		Number	Kind Code <sup>2</sup> (if known)			
		5,891,108		Leone, J.E. et al.	4/6/99	
		5,900,246		Lambert, T.L.	5/4/99	
		5,902,266		Leone, J.E. et al.	5/11/99	
		5,951,586		Berg, E.P. et al.	9/14/99	
		5,957,971		Schwartz, R.S.	9/28/99	
		5,972,027		Johnson, M.W.	10/26/99	
		5,976,534		Hart, C.E. et al.	11/2/99	
		5,977,163		Li, C. et al.	11/2/99	
		5,980,553		Gray, L.B. et al.	11/9/99	
		5,980,566		Alt, E. et al.	11/9/99	
		5,980,972		Ding N.	11/9/99	
		5,981,568		Kunz, L.L. et al.	11/9/99	

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STATEMENT BY APPLICANT**

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Sheet 7 of 8

Application Number	09/966,447
Filing Date	September 28, 2001
First Named Inventor	Lentz, David Christian
Group Art Unit	3763
Examiner Name	Not yet assigned
Attorney Docket Number	CRD-957

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,985,307		Hanson, S.R. et al.	11/16/99	
		5,997,468		Wolff, R.G. et al.	12/7/99	
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		6,146,358		Rowe, S.J.	11/14/00	

**FOREIGN PATENT DOCUMENTS**

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		Number	Kind Code <sup>2</sup> (if known)			
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